



# Deutsche Securities Australia Limited Publicly Available Crossing System Information

October 2016

## 1. Introduction – Crossing Systems

Deutsche Securities Australia Limited ABN 65 003 204 368 (“Deutsche Securities”) is the holder of an Australian financial services licence no. 238160 and as such has obligations under the Corporations Act 2001 (Cth). Deutsche Securities is also a Market Participant of ASX Limited (“ASX”) and Chi-X Australia Pty Ltd (“Chi-X”), and as such has obligations under the:

- Operating Rules of both ASX and Chi-X;
- ASIC Market Integrity Rules relating to the markets operated by ASX and Chi-X; and
- ASIC Market Integrity Rules (Competition in Exchange Markets) 2011 (“ASIC Market Competition Rules”).

With effect from 10 November 2013, Deutsche Securities has an obligation under the ASIC Market Competition Rules<sup>1</sup> to make specific Crossing System information publically available.

## 2. Publicly Available Crossing System Information

Type of Information	Publicly Available Crossing System Information									
Crossing System	Deutsche Bank “SuperX”									
Operator and Identifier	Deutsche Securities Australia Limited – Crossing System 2 “2102”									
Start Date	June 2011									
Tradeable Financial Products	Equity Market Products <sup>2</sup> tradeable on ASX and Chi-X									
Description and Access	<p>SuperX is Deutsche Securities internal electronic order book that allows orders (our clients’, our own and those of our related bodies corporate) to be submitted, maintained and matched<sup>3</sup> in the order book, with any transactions arising reported to the ASX or Chi-X market. SuperX will operate on a continuous trading basis but only at the same time as continuous trading occurs on the ASX and Chi-X markets.</p> <p>Subject to technical connectivity and the requirements of our clients, SuperX is available to all clients of Deutsche Securities.</p> <p>SuperX may contain orders from a variety of sources including institutional clients such as asset managers; mutual funds and hedge funds; liquidity aggregators and other Crossing Systems; electronic liquidity providers; and as stated above, our own orders.</p>									
Aggregation and other Crossing Systems	As set out below SuperX may receive orders from other Market Participants, who may also operate their own crossing systems and/or aggregator systems. <sup>4</sup>									
	<table border="1"> <thead> <tr> <th>Operator of Crossing System</th> <th>Are orders sent out to this operator?</th> <th>Are orders received in from this operator?</th> </tr> </thead> <tbody> <tr> <td>Instinet Australia Pty Limited (“2171”)</td> <td>No</td> <td>Yes</td> </tr> <tr> <td>ITG Australia Ltd (“3451”)</td> <td>No</td> <td>Yes</td> </tr> </tbody> </table>	Operator of Crossing System	Are orders sent out to this operator?	Are orders received in from this operator?	Instinet Australia Pty Limited (“2171”)	No	Yes	ITG Australia Ltd (“3451”)	No	Yes
Operator of Crossing System	Are orders sent out to this operator?	Are orders received in from this operator?								
Instinet Australia Pty Limited (“2171”)	No	Yes								
ITG Australia Ltd (“3451”)	No	Yes								

<sup>1</sup> ASIC Market Competition Rule 4A.3.1.

<sup>2</sup> As defined in the ASIC Market Competition Rules.

<sup>3</sup> In accordance with the relevant pre-trade transparency exceptions set out in the ASIC Market Competition Rules.

<sup>4</sup> As set out below, Deutsche Securities does not send orders out from SuperX to any other market participant or crossing system.



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Printed October 2016. MARKETING MATERIAL.

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